

AGENDA

Meeting GLA Oversight Committee

Date Tuesday 30 June 2015

Time 10.00 am

Place Committee Room 5, City Hall, The Queen's Walk, London, SE1 2AA

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Members of the Committee

Len Duvall AM (Chair)

Tony Arbour AM (Deputy Chairman)

Jennette Arnold OBE AM

Andrew Boff AM

Roger Evans AM

Darren Johnson AM

Joanne McCartney AM

Caroline Pidgeon MBE AM

Navin Shah AM

A meeting of the Committee has been called by the Chair of the Committee to deal with the business listed below.

Mark Roberts, Executive Director of Secretariat
Monday 22 June 2015

Further Information

If you have questions, would like further information about the meeting or require special facilities please contact: John Barry, Principal Committee Manager; Telephone: 020 7983 4425; Email: john.barry@london.gov.uk; Minicom: 020 7983 4458

For media enquiries please contact Alison Bell; Telephone: 020 7983 5769; Email: alison.bell@london.gov.uk. If you have any questions about individual items please contact the author whose details are at the end of the report.

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Certificate Number: FS 80233

**Agenda
GLA Oversight Committee
Tuesday 30 June 2015**

1 Apologies for Absence and Chair's Announcements

To receive any apologies for absence and any announcements from the Chair.

2 Declarations of Interests (Pages 1 - 4)

The Committee is recommended to:

- (a) Note the offices held by Assembly Members, as set out in the table at Agenda Item 2, as disclosable pecuniary interests;**
- (b) Note the declaration by any Member(s) of any disclosable pecuniary interests in specific items listed on the agenda and the necessary action taken by the Member(s) regarding withdrawal following such declaration(s); and**
- (c) Note the declaration by any Member(s) of any other interests deemed to be relevant (including any interests arising from gifts and hospitality received which are not at the time of the meeting reflected on the Authority's register of gifts and hospitality, and noting also the advice from the GLA's Monitoring Officer set out at Agenda Item 2) and to note any necessary action taken by the Member(s) following such declaration(s).**

3 Membership of the Committee

To note the membership and chairing arrangements for the Committee made by the Annual Meeting of the Assembly on 13 May 2015.

Len Duvall AM (Chair)

Tony Arbour AM (Deputy Chairman)

Jennette Arnold OBE AM

Andrew Boff AM

Roger Evans AM

Darren Johnson AM

Joanne McCartney AM

Caroline Pidgeon MBE AM

Navin Shah AM

4 Terms of Reference and Standing Delegations

The Committee is recommended to:

(a) Note the Committee's terms of reference, which are as follows:

A. Staffing

1. To respond on behalf of the London Assembly to formal consultation from the Head of Paid Service (HoPS) concerning staff appointments and terms and conditions for section 67 (2) employees under the GLA Acts 1999 and 2007.
2. To receive reports from the Head of Paid Service.
3. Noting that it is a joint decision with the Mayor, to make recommendations to the London Assembly on appointments to the posts of the three statutory officers (Head of Paid Service, Monitoring Officer and the Chief Finance Officer) and on the terms and conditions of employment for those posts.
4. Noting that it is a joint decision with the Mayor, to make recommendations to the London Assembly relating to any required disciplinary procedures against the Authority's statutory officers in accordance with relevant procedures.
5. In accordance with agreed protocols and at the request of the Head of Paid Service, to appoint as necessary Members to attend appointment panels as non-voting members for relevant staff posts.
6. To make recommendations to the London Assembly on any other matter requiring a joint decision with the Mayor.

B. Scrutiny

1. To approve all scrutiny-related Assembly expenditure and proposals for use of *rapporteurs*, in conformity with the Assembly's decision making framework procedure.
2. To scrutinise issues relating to civil contingency arrangements in London.
3. To scrutinise issues relating to the provision of services to the public (other than those falling within the remit of other committees of the Assembly) and the performance of utilities in London.
4. To scrutinise any actions or decisions taken by the Mayor on matters relating to education.

C. General

1. To oversee the programming of the Assembly's business.
2. To provide a response from the Assembly on consultation in respect of electoral issues, arrangements for major events such as People's Question Time and the State of London debate, consultation on their behalf concerning Parliamentary bills and all forms of secondary legislation or guidance and any other issue not falling within another committee or sub-committee's subject area and terms of reference.
3. To deal with and determine any question, issue or other matter not falling within the approved subject area and terms of reference of any other committee.
4. To vary the approved subject area and terms of reference of the Assembly's other committees and sub-committees, so as to include for the future an issue or other matter not otherwise provided for, in order to facilitate the efficient and effective discharge of the Assembly's business.
5. To have due regard to the decisions of the other Committees of the Assembly.
6. To consider and approve a programme of Assembly receptions and events.

D. Budget

1. To recommend to the Mayor a budget proposal for the London Assembly for the following financial year.
2. To allocate the Assembly's budget and to monitor expenditure on scrutiny throughout the year.
3. To approve, in accordance with GLA policy, all proposed Assembly-related budget virements in excess of £25,000 (noting that virements in excess of £50,000 will be notified to the Mayor once a decision is taken) and to approve all proposed virements between staff and non-staff budgets within the overall Secretariat budget (in consultation with the Mayor for relevant proposed virements in excess of £50,000).

(b) Note the following delegations to the Chair of the GLA Oversight Committee:

- (i) The Assembly, on 21 July 2004, resolved:

- That the Chair of the BMAC^{1[1]}, in consultation with the Deputy Chair and Group Leaders, be given delegated authority to approve the calling of additional meetings of Committees outside the agreed timetable of meetings.”
- (ii) The Business Management and Administration Committee agreed the following delegations on 13 December 2007 to the Chair, in consultation with the Deputy Chair and party Group spokespeople:
- To respond on behalf of the London Assembly to formal consultation from the Head of Paid Service (HoPS) concerning staff terms and conditions and changes to the establishment where there is not sufficient time to do so at a full Committee meeting.
 - With the Mayor and on behalf of the London Assembly, to agree arrangements for any required disciplinary procedures against the Authority’s statutory officers in accordance with relevant procedures where there is not sufficient time to do so at a full Committee meeting.
 - In accordance with agreed protocols and at the request of the Head of Paid Service appoint as necessary Members to attend appointment panels as non-voting members for relevant staff posts where there is not sufficient time to do so at a full Committee meeting.
 - In accordance with agreed protocols and to appoint as necessary Members to attend appointment panels as voting members for the three statutory officers where there is not sufficient time to do so at a full Committee meeting.
- (iii) The Business Management and Administration Committee agreed the following delegations to the Chair of the Assembly on 23 September 2010:
- That authority be delegated to the Chair of the Assembly to hold one event of their choice each year, having regard to the event selection criteria, as part of the Assembly’s events programme.

^{1[1]} The GLA Oversight Committee formerly was known as the Business Management and Administration Committee (BMAC).

5 **Re-Establishment of Working Groups and Panels** (Pages 5 - 10)

Report of the Executive Director of Secretariat

Contact: John Barry; john.barry@london.gov.uk; 020 7983 4425

The Committee is recommended to:

- (a) Consider whether to re-establish the Education Panel as a working group with the term of reference, size, membership and chairing arrangements, as set out in the report;**
- (b) Consider whether to re-establish the Head of Paid Service Performance Review Panel as a working group, with the term of reference, size, membership and chairing arrangements, as set out in the report;**
- (c) Consider whether to re-establish the Standing Orders Working Group, with the term of reference, size, membership and chairing arrangements, as set out in the report; and**
- (d) Consider whether to re-establish the Devolution Working Group with the terms of reference, size, membership and chairing arrangements, as set out in the report.**

6 **Minutes** (Pages 11 - 68)

The Committee is recommended to confirm the minutes of the meeting of the GLA Oversight Committee held on 24 March 2015 to be signed by the Chair as a correct record.

The appendices to the minutes, set out on pages 17 to 68, are attached for Members and officers only but are available from the following area of the GLA's website:

<http://www.london.gov.uk/mayor-assembly/london-assembly/oversight>

7 **Summary List of Actions** (Pages 69 - 114)

Report of the Executive Director of Secretariat

Contact: John Barry; john.barry@london.gov.uk; 020 7983 4425

The Committee is recommended to note the completed and outstanding actions arising from previous meetings of the GLA Oversight Committee.

The appendices to the report, set out on pages 75 to 114, are attached for Members and officers only but are available from the following area of the GLA's website:

<http://www.london.gov.uk/mayor-assembly/london-assembly/oversight>

8 Action Taken Under Delegated Authority (Pages 115 - 140)

Report of the Executive Director of Secretariat

Contact: John Barry; john.barry@london.gov.uk; 020 7983 4425

The Committee is recommended to note the action taken by the Chair of the Committee under delegated authority.

The appendices to the report, set out on pages 117 to 140, are attached for Members and officers only but are available from the following area of the GLA's website:

<http://www.london.gov.uk/mayor-assembly/london-assembly/oversight>

9 Head of Paid Service Oral Update

The Head of Paid Service to provide any service updates not covered in the rest of the agenda.

10 Annual Governance Statement 2014-15 (Pages 141 - 174)

Report of the Head of Paid Service and Executive Director of Resources

Contact: Tom Middleton; tom.middleton@london.gov.uk; 020 7983 4257

The Committee is recommended to comment on the draft GLA Annual Governance Statement for 2014-15.

The appendix to the report, set out on pages 143 to 174, is attached for Members and officers only but is available from the following area of the GLA's website:

<http://www.london.gov.uk/mayor-assembly/london-assembly/oversight>

11 Shared Services: Consultation on Shared Insurance Functions (Pages 175 - 180)

Report of the Executive Director of Resources

Contact: Martin Boyle; martin.boyle@london.gov.uk; 020 7983 4144

The Committee, prior to the Mayor's Decision under section 401A of the Greater London Authority Act, is recommended to comment on the proposal for a shared service arrangement for TfL to undertake GLA's insurance arrangements, as described in the report, as soon as mutually practicable.

12 Survey of National Rail Passengers (Pages 181 - 184)

Report of the Executive Director of Secretariat

Contact: Richard Berry, richard.berry@london.gov.uk, 020 7983 4199

The Committee is recommended to:

- (a) Agree that expenditure of up to £5,000 be authorised for the Transport Committee to commission an external contractor to conduct a survey of London residents on attitudes to National Rail services; and**
- (b) Note that the Executive Director of Secretariat, in consultation with the Chair of the Transport Committee, will commission the external contractor to carry out the rail survey, subject to the Committee approving the recommendation.**

13 Research to Support the Health Committee's Investigation into Tuberculosis in London (Pages 185 - 188)

Report of the Executive Director of Secretariat

Contact: Katie Smith; katie.smith@london.gov.uk; 020 7983 4423

The Committee is recommended to:

- (a) Approve expenditure up to a maximum of £5,000 from the 2015/16 scrutiny programme budget, to commission external contractors to undertake survey work to support the Health Committee's investigation into tuberculosis in London; and**
- (b) Note that the Executive Director of the Secretariat, in consultation with the Chair of the Health Committee, will commission the external contractors, subject to the Committee approving this expenditure.**

14 Secretariat Quarterly Review, Quarter 4, 2014-15 (Pages 189 - 198)

Report of the Executive Director of Secretariat

Contact: Katie Smith; katie.smith@london.gov.uk; 020 7983 4423

The Committee is recommended to note the core Secretariat quarterly monitoring report for the fourth quarter of 2014-15 (January-March 2015).

15 GLA Annual Equalities Report - Assembly Contribution (Pages 199 - 206)

Report of the Executive Director of Secretariat

Contact: Katie Smith; katie.smith@london.gov.uk; 020 7983 4423

The Committee is recommended to agree the Assembly's contribution to the GLA's Annual Equalities Report 2014/15 in advance of its consideration of the full report at its meeting in October 2015.

16 Work Programme for the GLA Oversight Committee (Pages 207 - 212)

Report of the Executive Director of Secretariat

Contact: John Barry; john.barry@london.gov.uk; 020 7983 4425

The Committee is recommended to:

- (a) Agree its work programme for 2015/16, as set out in this report and identify any additional issues it wishes to consider at future meetings; and**
- (b) Having previously agreed to appoint John Biggs AM as rapporteur to carry out a follow-up investigation into transparency in the GLA Group and wider GLA family, agree the terms of reference of the investigation as set out in the report.**

17 Date of Next Meeting

The next meeting of the Committee is scheduled to be held on Thursday 9 July 2015 at 2.00pm in Committee Room 4.

18 Any Other Business the Chair Considers Urgent